



## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: MailMail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
or Fax (703) 746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

7590

07/23/2004

McDonnell Boehnen Hulbert & Berghoff  
32nd Floor  
300 South Wacker Drive  
Chicago, IL 60606

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

|                          |                    |
|--------------------------|--------------------|
| Anita J. Terpstra        | (Depositor's name) |
| <i>Anita J. Terpstra</i> | (Signature)        |
| October 25, 2004         | (Date)             |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 09/595,526      | 06/16/2000  | Richard M. Lawn      | 99.395-A            | 9969             |

TITLE OF INVENTION: COMPOSITIONS AND METHODS FOR INCREASING CHOLESTEROL EFFLUX AND RAISING HDL USING ATP BINDING CASSETTE TRANSPORTER PROTEIN ABC1

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|-----------|-----------------|------------------|------------|
| nonprovisional | YES          | \$665     | \$0             | \$665            | 10/25/2004 |

| EXAMINER         | ART UNIT | CLASS-SUBCLASS |
|------------------|----------|----------------|
| RAO, MANJUNATH N | 1652     | 435-069100     |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 McDonnell Boehnen  
2 Hulbert & Berghoff LLP  
3 \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

CV Therapeutics, Inc.  
University of Washington

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Palo Alto, California  
Seattle, WashingtonPlease check the appropriate assignee category or categories (will not be printed on the patent); ☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

☒ Issue Fee☐ Publication Fee (No small entity discount permitted)☒ Advance Order - # of Copies 10

4b. Payment of Fee(s):

☒ A check in the amount of the fee(s) is enclosed.☐ Payment by credit card. Form PTO-2038 is attached.☒ The Director is hereby authorized to charge the required fee(s), or credit any overpayment, to Deposit Account Number 13-2490 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.☐ b. Applicant is not claiming SMALL ENTITY status. See, e.g., 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

(Authorized Signature) *Anita J. Terpstra* (Date) October 25, 2004

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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TRANSMIT THIS FORM WITH FEE(S)



McDonnell Boehnen Hulbert & Berghoff LLP  
Operating Account

300 South Wacker Drive  
Chicago, Illinois 60606-6709  
[www.mbhb.com](http://www.mbhb.com)

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312 913 0002 fax

Nº 079297

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079297 071921891 978816144



09, 595, 526

1FW C1FW

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No: 99,395-A)

IN THE PATENT OF: )  
 )  
Lawn, et al. )  
 )  
Patent No. 6,835,563 B1 )  
 )  
Issued: December 28, 2004 )  
 )  
For: Compositions And Methods For )  
Increasing Cholesterol Efflux And )  
Raising HDL Using ATP Binding )  
Cassette Transporter Protein ABC1 )

**REQUEST FOR EXPEDITED ISSUANCE OF CERTIFICATE  
OF CORRECTION PURSUANT TO 37 C.F.R. § 1.322**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attn: Certificate of Correction Branch

Sir:

This is a request for expedited issuance of the accompanying Certificate of Correction pursuant to 35 U.S.C. § 254 and 37 CFR § 1.322. The Assignees of Record, CV Therapeutics, Inc. and University of Washington, seek to correct an error in the above-identified Patent. The correction is as follows:

On the title page, at item (73) Assignee, please replace "CV Therapeutics, Palo Alto, CA (US)" with -- CV Therapeutics, Inc., Palo Alto, CA (US); University of Washington, Seattle, WA (US) -- .

In accordance with 37 CFR § 3.81(a), Applicants made a proper request that the application issue in the names of the assignees consistent with the application's assignments at the time of payment of the issue fee. Specifically, Applicants properly furnished the names and addresses of the two assignees in the appropriate space for specifying the assignees (i.e., item 3 of the Issue Fee Transmittal Form PTOL-85B). A copy of the Issue Fee Transmittal Form PTOL-85B filed with the Patent Office on October 25, 2004, which clearly identifies the two assignees CV Therapeutics, Inc. and University of Washington in item 3 is attached.

In further compliance with 37 CFR § 373.81(a), the assignments to both assignees CV Therapeutics, Inc. and University of Washington had been previously recorded in the Patent and Trademark Office on October 20, 2000 (copy of Recordation of Assignment to CV Therapeutics, Inc. and copy of Recordation of Assignment to University of Washington attached herewith).

In view of the Issue Fee Transmittal Form PTOL-85B which identifies the two assignees and the properly recorded assignments to the two assignees, it is believed that the mistake in U.S. Patent No. 6,835,563 B1 (i.e., the omission of University of Washington listed as one of the assignees) was incurred through the fault of the Patent and Trademark Office and is clearly disclosed by the records to the Office. Accordingly, the Patent Office is respectfully requested to issue in an expedited manner the enclosed Certificate of Correction to be recorded in the records of patents.

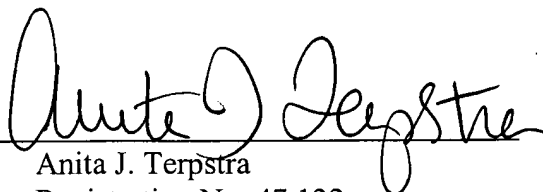
Pursuant to the provisions of 35 USC § 254, it is believed that no fee is due for the certificate of correction. However, if a fee is due, please charge Deposit Account Number 13-2490.

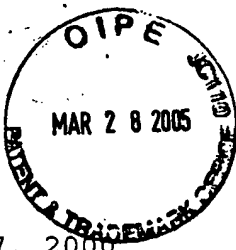
U.S. Patent No.: 6,835,563 B1  
MBHB99-395-A

Enclosed is a complete Certificate of Correction for U.S. Patent No. 6,835,563 B1 issued  
December 28, 2004.

Respectfully submitted,  
McDonnell Boehnen Hulbert & Berghoff LLP

Dated: March 23, 2005

By:   
Anita J. Terpstra  
Registration No. 47,132



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

DECEMBER 27, 2000

PTAS

MCDONNELL, BOEHNEN, HULBERT & BERGHOFF  
ANITA J. TERPSTRA  
300 SOUTH WACKER DRIVE - 32ND FLOOR  
CHICAGO, IL 60606



\*101503132A\*

**UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/20/2000

REEL/FRAME: 011188/0528  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

**ASSIGNOR:**

ORAM, JOHN F.

DOC DATE: 08/29/2000

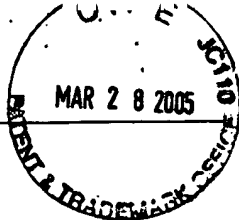
**ASSIGNEE:**

UNIVERSITY OF WASHINGTON  
1107 N.E. 45TH STREET, SUITE 200  
SEATTLE, WASHINGTON 98105

SERIAL NUMBER: 09595526  
PATENT NUMBER:

FILING DATE: 06/16/2000  
ISSUE DATE:

MARGARET LASALLE, PARALEGAL  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



11-02-2000



101503132

Docket No. 99,395-A  
HEET U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To The Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

John F. Oram

10-20-00

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: University of Washington

Address: 1107 N.E. 45<sup>th</sup> Street, Suite 200

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_

City: Seattle

State: Washington

Country: U.S.A.

Zip: 98105

Execution Date:

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): 09/595,526

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

Filing Date

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Anita J. Terpstra

Registration No.: 47,132

Company Name: McDonnell, Boehnen, Hulbert &amp; Berghoff

Street Address: 300 South Wacker Drive - 32<sup>nd</sup> Floor

City: Chicago

State: Illinois

Country: U.S.A.

ZIP: 60606

6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41).....\$40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

13-2490

1/02/2000 AAHED1 00000037 09595526

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Anita J. Terpstra

Printed Name of Person Signing

Signature

Date: October 17, 2000

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:

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Washington, D.C. 20231

## **ASSIGNMENT**

Case No.: 99,395-A

Serial No.: 09/595,526

Inventor: **John F. Oram**

Date of Execution

of Application:

Filing Date: June 16, 2000

In consideration of One Dollar (\$1.00) and other good and valuable considerations in hand paid, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby assigns to:

**University of Washington, a non-profit organization existing under  
the laws of the State of Washington**

its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, entitled:

**Compositions and Methods for Increasing Cholesterol Efflux and  
Raising HDL Using ATP Binding Cassette Transporter Protein ABC1**

and identified as:

**Case No. 99,395-A**

in the offices of McDONNELL BOEHNEN HULBERT & BERGHOFF and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension of such patents, and further assigns to said assignee the priority right provided by the International Convention.

The undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

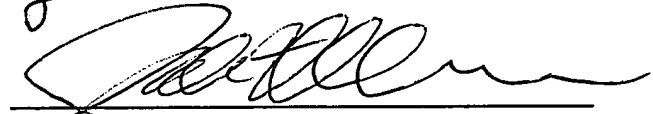
The undersigned hereby authorizes and requests the attorneys of record in said application to insert in this assignment the filing date and serial number of said application when officially known, and the date of execution of the application.

The undersigned warrants himself to be the owner of the entire right, title and interest in said invention or improvements and to have the right to make this assignment, and further warrants that there are no outstanding prior assignments, licenses, or other encumbrances on the interest herein assigned.



For said considerations the undersigned hereby agrees, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation and substitute applications for said invention or improvements, and any necessary oath, affidavit or declaration relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application, and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns may deem necessary or expedient, and for the said considerations the undersigned authorizes said assignee to apply for patents for said invention or improvements in its own name in such countries where such procedure is proper and further agrees, upon the request of said assignee, its successors and assigns, to cooperate to the best of the ability of the undersigned with said assignee, its successors and assigns, in any proceedings or transactions involving such applications or patents, including the preparation and execution of preliminary statements, giving and producing evidence, and performing any and all other acts necessary to obtain, maintain and enforce said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in the assignee, its successors and assigns, whereby said Letters Patent will be held and enjoyed by the said assignee, its successors and assigns, to the full end of the term for which said Letters Patent will be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not been made.

WITNESS my hand and seal this 29 day of Aug., 2000.

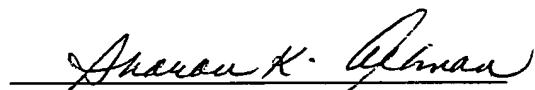
  
John F. Oram

State of Washington

County of King

The foregoing instrument was acknowledged before me this 29<sup>th</sup> day of

August, 2000 by JOHN F. ORAM

  
NOTARY PUBLIC  
Comm. Expires 9-19-00



FORM PTO-1595

RECORDATION FORM COVER SHEET  
PATENTS ONLYDocket No. 99,395-A  
U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To The Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

John F. Oram

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 2. Name and address of receiving party(ies)

Name: University of Washington

Address: 1107 N.E. 45<sup>th</sup> Street, Suite 200

City: Seattle

State: Washington

Country: U.S.A.

Zip: 98105

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_

Execution Date:

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s): 09/595,526

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

Filing Date

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Anita J. Terpstra

Registration No.: 47,132

Company Name: McDonnell, Boehnen, Hulbert &amp; Berghoff

Street Address: 300 South Wacker Drive - 32<sup>nd</sup> Floor

City: Chicago

State: Illinois

Country: U.S.A.

ZIP: 60606

## 6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41).....\$40.00

☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number:

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Anita J. Terpstra

Printed Name of Person Signing

Signature

Date: October 17, 2000

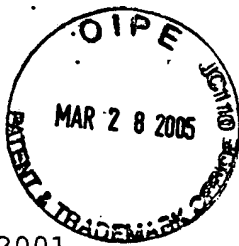
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JANUARY 23, 2001

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32ND FLOOR  
CHICAGO, ILLINOIS 60606



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RECORDATION DATE: 10/20/2000

REEL/FRAME: 011250/0255  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

LAWN, RICHARD M.

DOC DATE: 09/15/2000

ASSIGNOR:

WADE, DAVID

DOC DATE: 09/08/2000

ASSIGNOR:

GARVIN, MICHAEL

DOC DATE: 09/11/2000

ASSIGNEE:

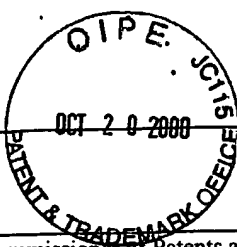
CV THERAPEUTICS  
3172 PORTER DRIVE  
PALO ALTO, CALIFORNIA 94304

SERIAL NUMBER: 09595526  
PATENT NUMBER:

FILING DATE: 06/16/2000  
ISSUE DATE:

011250/0255 PAGE 2

MAURICE CARTER, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



11-22-2000

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D \$

FORM PTO-1595



SHEET

Docket No.

99,395-A

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

101525124

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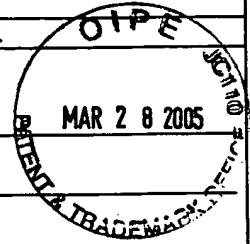
## 1. Name of conveying party(ies):

Richard M. Lawn  
David Wade  
Michael Garvin

## 2. Name and address of receiving party(ies)

Name: CV Therapeutics

Address: 3172 Porter Drive

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

City: Palo Alto

State: California

Country: U.S.A.

Zip: 94304

Execution Date:

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s): 09/595,526

If this document is being filed together with a new application, the execution date of the application is:

## A. Patent Application No.(s)

Filing Date

## B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

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Registration No.: 47,132

Company Name: McDonnell, Boehnen, Hulbert &amp; Berghoff

Street Address: 300 South Wacker Drive - 32<sup>nd</sup> Floor

City: Chicago

State: Illinois

Country: U.S.A.

ZIP: 60606

## 6. Total number of applications and patents involved: 1

## 7. Total Fee (37 CFR 3.41).....\$40.00

☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number:

13-2490

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Anita J. Terpstra

Printed Name of Person Signing

Signature

Date: October 17, 2000

Total number of pages including cover sheet, attachments, and document: 4

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Box Assignments

Washington, D.C. 20231

## ASSIGNMENT

Case No.: 99,395-A  
Inventors: Richard M. Lawn; David Wade, Michael Garvin  
Date of Execution  
of Application:

Serial No.: 09/595,526

Filing Date: June 16, 2000

In consideration of One Dollar (\$1.00) and other good and valuable considerations in hand paid, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby assign to:

**CV Therapeutics, a corporation of the State of California**

its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, entitled:

**Compositions and Methods for Increasing Cholesterol Efflux and  
Raising HDL Using ATP Binding Cassette Transporter Protein ABC1**

and identified as:

**Case No. 99,395-A**

in the offices of McDONNELL BOEHNEN HULBERT & BERGHOFF and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension of such patents, and further assigns to said assignee the priority right provided by the International Convention.

The undersigned hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

The undersigned hereby authorize and request the attorneys of record in said application to insert in this assignment the filing date and serial number of said application when officially known, and the date of execution of the application.

The undersigned warrant themselves to be the owners of the entire right, title and interest in said invention or improvements and to have the right to make this assignment, and further warrant that there are no outstanding prior assignments, licenses, or other encumbrances on the interest herein assigned.

For said considerations the undersigned hereby agree, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation and substitute applications for said invention or improvements, and any necessary oath, affidavit or declaration relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns may deem necessary or expedient, and for the said considerations the undersigned authorize said assignee to apply for patents for said invention or improvements in its own name in such countries where such procedure is proper and further agree, upon the request of said assignee, its successors and assigns, to cooperate to the best of the ability of the undersigned with said assignee, its successors and assigns, in any proceedings or transactions involving such applications or patents, including the preparation and execution of preliminary statements, giving and producing evidence, and performing any and all other acts necessary to obtain, maintain and enforce said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in the assignee, its successors and assigns, whereby said Letters Patent will be

said assignee, its successors and assigns, to the full end of the term for which said Letters Patent will be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not been made.

WITNESS my hand and seal this 15<sup>th</sup> day of September, 2000.

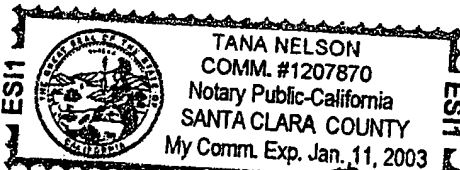
Richard M. Lawn  
Richard M. Lawn

State of California

County of Santa Clara

The foregoing instrument was acknowledged before me this 15<sup>th</sup> day of

September, 2000 by Richard M. Lawn



Tana Nelson  
NOTARY PUBLIC

WITNESS my hand and seal this 8<sup>th</sup> day of September, 2000

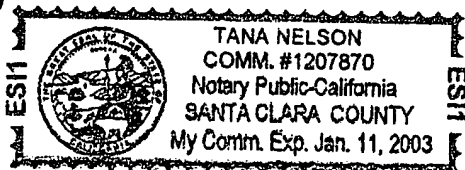
David Wade  
David Wade

State of California

County of Santa Clara

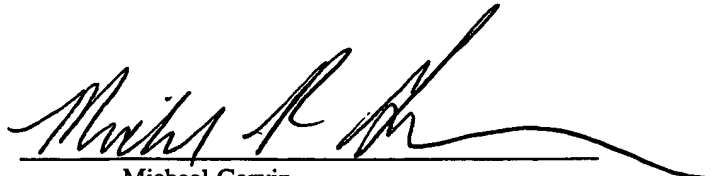
The foregoing instrument was acknowledged before me this 8<sup>th</sup> day of

September, 2000 by David Wade



Tana Nelson  
NOTARY PUBLIC

WITNESS my hand and seal this 11<sup>th</sup> day of September, 2000.

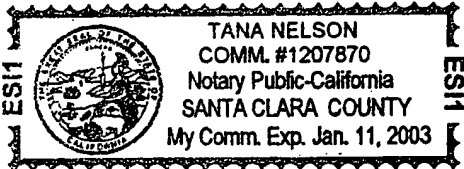
  
Michael Garvin

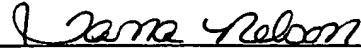
State of California

County of Santa Clara

The foregoing instrument was acknowledged before me this 17<sup>th</sup> day of

September, 2000 by Michael Garvin



  
NOTARY PUBLIC



RECORDATION FORM COVER SHEET  
PATENTS ONLYNet No. 99,395-A  
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Patent and Trademark Office

To The Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Richard M. Lawn  
David Wade  
Michael Garvin

## 2. Name and address of receiving party(ies)

Name: CV Therapeutics

Address: 3172 Porter Drive

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_

City: Palo Alto

State: California

Country: U.S.A.

Zip: 94304

Execution Date:

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s): 09/595,526

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

Filing Date

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Anita J. Terpstra

Registration No.: 47,132

Company Name: McDonnell, Boehnen, Hulbert &amp; Berghoff

Street Address: 300 South Wacker Drive - 32<sup>nd</sup> Floor

City: Chicago

State: Illinois

Country: U.S.A.

ZIP: 60606

## 6. Total number of applications and patents involved: 1

## 7. Total Fee (37 CFR 3.41).....\$40.00

☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number:

13-2490

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Anita J. Terpstra

Printed Name of Person Signing

Signature

Date: October 17, 2000

Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:

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Washington, D.C. 20231



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Case No: 99,395-A)

IN THE PATENT OF:

Lawn, et al.

Patent No. 6,835,563 B1

Issued: December 28, 2004

For: Compositions And Methods For  
Increasing Cholesterol Efflux And  
Raising HDL Using ATP Binding  
Cassette Transporter Protein ABC1

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attn: Certificate of Correction Branch

TRANSMITTAL LETTER

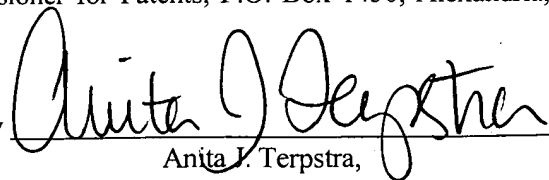
Dear Sir/Madam:

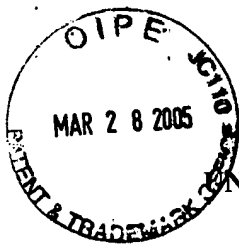
In regard to the above identified application:

1. We are transmitting herewith the attached
  - a. Request for Certificate of Correction under 37 C.F.R. § 1.322;
  - b. Certificate of Correction (Form PTO-1050);
  - c. Copy of Recordation of Assignment documents;
  - d. Copy of Issue Fee Transmittal Letter Form PTOL-85B filed on 10/25/2004; and
  - e. Return Receipt Postcard
2. With respect to additional fees:  
☒ No additional fee is required.
3. General Authorization: Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.
4. CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Certificate of Correction Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on March 23, 2005.

Date: March 23, 2005

By

  
Anita J. Terpstra,  
Reg. No. 47,132



PATENT

UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 99,395-A)

IN THE APPLICATION OF:

Lawn, *et al.*

Serial No. 09/595,526

Filed: June 16, 2000

Title Compositions and Methods for  
Increasing Cholesterol Efflux and  
Raising HDL Using ATP Binding  
Cassette Transporter Protein ABC1

Examiner: Rao, Manjunath N.

Group Art Unit: 1652

Confirmation No.: 9969

MAIL STOP ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir:

In regard to the above identified application:

1. We are transmitting herewith the attached:
  - a. Issue Fee Transmittal Form PTOL-85B; and
  - b. Return Receipt Postcard.
2. With respect to additional fees:  
☒ A check in the amount of \$715.00 is enclosed.
3. Please charge any additional fees or credit overpayment to Deposit Account No.13-2490. A duplicate copy of this sheet is enclosed.
4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.10: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service under Express Mail No. EV334698730US in an envelope addressed to: Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 25, 2004

McDonnell Boehnen Hulbert & Berghoff LLP

Date: October 25, 2004

By:

Marcus J. Thynian

Reg. No. 43,954

Hon. Commissioner of      S/N 09/595,526  
Patents and Trademarks  
Re: Applicant – Lawn, et al.

Atty: AJT/EO  
Ref. No.: 99,395-A  
Express Mail No. EV334698730US

**"COMPOSITIONS AND METHODS FOR INCREASING CHOLESTEROL  
EFFLUX AND RAISING HDL USING ATP BINDING CASSETTE  
TRANSPORTER PROTEIN ABC1"**

Sir:

Please place the Patent Office receipt stamp hereon and mail to acknowledge receipt of:

- ☒ Transmittal Letter (in duplicate)  
☒ Issue Fee Transmittal Form PTOL-85B (in duplicate)  
☒ Issue Fee and Publication Payment

Fee Enclosed: \$715.00

Date Mailed: October 25, 2004

Respectfully,  
McDonnell Boehnen Hulbert & Berghoff LLP  
Attorney for Applicant



EV 334698730 US

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# UNITED STATES PATENT AND TRADEMARK OFFICE

## CERTIFICATE OF CORRECTION

PATENT NO : 6,835,563 B1

DATED : December 28, 2004

INVENTOR(S) : Lawn et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In the title page,

Under Item (73) Assignee, please replace "CV Therapeutics, Palo Alto, CA (US)" with -- CV Therapeutics, Inc., Palo Alto, CA (US); University of Washington, Seattle, WA (US) --

MAILING ADDRESS OF SENDER: Anita J. Terpstra, Ph.D.

McDonnell Boehnen Hulbert & Berghoff LLP  
300 South Wacker, Suite 3200  
Chicago, IL 60606

PATENT NO. 6,835,563 B1

No. of additional copies

